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Patent, Trademark and Copyright Causes
Unfair Competition, Trade Secrets,
Licensing and Litigation

*Practice limited to Matters and Proceedings before
Federal Courts and Agencies; not Admitted in DC

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** Registered Patent Agent: not Admitted in DC
++ Limited Rep. at USPTO

FACSIMILE COVER SHEET

TO: U.S. Patent & Trademark Office
Attn: **OIPE**,
Facsimile No. 703-746-9195

Date: May 31, 2002

FROM: Nath & Associates PLLC
RE: U.S. application no. 10/090,018
Request for Correction and Request for Refund

NO. OF PAGES (including this page): 18

COMMENTS AND/OR SPECIAL INSTRUCTIONS:

SHOULD ANY DIFFICULTIES BE INCURRED WITH THE RECEIPT OF THIS
FACSIMILE TRANSMISSION (IN WHOLE OR IN PART), PLEASE CONTACT THE
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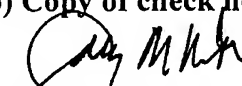
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CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following papers being facsimile transmitted to the U.S. Patent and
Trademark Office on the date shown below.

- 1) Request for Correction
- 2) Request for Refund
- 3) Copy of Preliminary Amendment with stamped filing receipt dated Feb. 28, 2002
- 4) Copy of Filing Form, 5) Copy of check no. 16293 for \$776.00

Date: May 31, 2002


Gary M. Nath, Reg. No. 26,965
Customer No. 20529

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Karl-Rudolf HEGEMANN

Serial No.: 10/090,018

Date Filed: February 28, 2002



Group Art Unit: 1742

For: **METHOD OF TREATING GASES ISSUED FROM A SINTERING PLANT**

REQUEST FOR CORRECTION

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

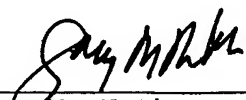
Applicant respectfully requests a correction of the application captioned above in which the filing receipt states that 26 claims were filed and that fees paid were indicative of the number of claims filed.

Applicant filed 22 claims, as stated on the attached fee calculation sheet filed Feb. 28, 2002. A Preliminary Amendment was filed to removed multiple dependencies concurrently. It is apparent that the Preliminary Amendment was not entered. Applicant encloses a copy of the Preliminary Amendment removing the multiple dependencies filed with the application on Feb. 28, 2002 and **requests that the Preliminary Amendment be duly entered**, retroactive to Feb. 28, 2002. A Request for Refund of the excess and multiple dependent fees erroneously charged to the applicant's deposit account is also attached.

Applicant requests that a response to this request be mailed to the attorney of record listed below along with a corrected filing receipt. If there are any questions concerning this matter, please notify the undersigned attorney.

Respectfully submitted,
NATH & ASSOCIATES PLLC

By:



Gary M. Nath
Registration No. 26,965
Customer No. 20529

Date: May 31, 2002
NATH & ASSOCIATES
1030 15th Street N.W., 6th Floor
Washington, D.C. 20005
(202) 775-8383
GMN/dd:ReqReCorr.sub

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Karl-Rudolf HEGEMANN

Serial No.: 10/090,018

Date Filed: February 28, 2002



Group Art Unit: 1742

For: **METHOD OF TREATING GASES ISSUED FROM A SINTERING PLANT**

REQUEST FOR REFUND

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:


Applicant respectfully requests a refund of money taken from deposit account no. 14-0112. Applicant believes the money was taken in error for excess and multiple dependent claims, when in fact a Preliminary Amendment to remove the multiple dependent claims was filed with the application on February 28, 2002. A check for \$776.00 was included with the application and covered the 2 excess claims. Copies of which are transmitted herewith.

Thus, applicant respectfully requests that the amounts of \$36.00 for fee code 103, \$280.00 for fee code 104 (both dated March 11, 2002), and \$252.00 for fee code 103 (dated March 28, 2002) for a total of \$566.00 be credited back to deposit account no. 14,0112.

Respectfully submitted,

NATH & ASSOCIATES PLLC

By: _____


Gary M. Nath
Registration No. 26,965
Customer No. 20529

Date: May 31, 2002
NATH & ASSOCIATES
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Washington, D.C. 20005
(202) 775-8383
GMN/dd:ReqRefund.sub

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

HEGEMANN, Karl-Rudolf

WEISSERT, Helmut

Serial No. Not Yet Assigned

Filed: February 28, 2002

For: **METHOD OF TREATING GASES ISSUED FROM A SINTERING PLANT**

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Commissioner of Patents
Washington, D.C. 20231

Sir:

An Information Disclosure Statement is submitted herewith pursuant to 37 C.F.R. §1.97-1.98. Please note the following particulars:

[NOTE: One only of items a, b, c, and d must be checked.]

[X] a. The enclosed statement is being filed within three months of the filing date of a national application, or within three months of the date of entry into the national stage as set forth in 37 C.F.R. §1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.

[] b. The enclosed statement is being filed after a first action on the merits but before the mailing date of a final action under 37 C.F.R. §1.113, or a notice of allowance under 37 C.F.R. §1.311.

The enclosed statement is accompanied by [check one]:

[] i. a certification in part (e) below as specified in 37 C.F.R. §1.97(e), or

[] ii. a check in the amount of \$180.00 required by 37 C.F.R. §1.17(p).

[] c. The enclosed statement is being filed after the mailing date of a final action under 37 C.F.R. §1.113, or a notice of allowance under 37 C.F.R. §1.311, but before payment of the issue fee.

[] d. The enclosed statement is being filed pursuant to 37 C.F.R. §1.97(i), for placement in the file.

[] e. Certification [Check one] [Certification is required only if box (b)(I) or box ©) is checked.]

[] I hereby certify that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign

application not more than three months prior to the filing of this statement,

or

[] I hereby certify that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

or


[] Appropriate certification is attached.

[X] f. If no check is enclosed and a fee is due in connection with this communication or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 14-0112. A duplicate of this sheet is enclosed.

Copies of the documents are attached herewith with a completed Form PTO-1449. The Examiner is respectfully requested to cite the documents listed on the attached Form PTO-1449 in the next Office Action. In so doing, the Examiner is respectfully requested to initial in the space adjacent to the listing of each document on the Form PTO-1449, and return a copy of the initialed Form PTO-1449 with the next communication to Applicants, to confirm that these documents have been considered by the Examiner and made of record in this application.

If the Examiner has any questions or wishes to discuss this application, kindly telephone the undersigned at the below-listed number.

Respectfully submitted,
NATH & ASSOCIATES PLLC

By: 
Gary M. Nath
Registration No. 26,965
Customer No. 20529

Date: February 28, 2002
NATH & ASSOCIATES PLLC
1030 15th Street, N.W., 6th Floor
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